

# Effect of disorder and electron-phonon interaction on interlayer tunneling current in quantum Hall bilayer

O. G. C. Ros<sup>1</sup> and D. K. K. Lee<sup>2</sup><sup>1</sup>*Instituto Pluridisciplinar, Universidad Complutense Paseo Juan XXIII, 1, 28040 Madrid, Spain*<sup>2</sup>*Blackett Laboratory, Imperial College London, Prince Consort Rd., London SW7 2AZ, United Kingdom*

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We study the transport properties of the quantum Hall bilayers systems looking closely at the effect that disorder and electron-phonon interaction have on the interlayer tunneling current in the presence of an in-plane magnetic field  $B_{\parallel}$ . We find that it is important to take into account the effect of disorder and electron-phonon interactions in order to predict a finite current at a finite voltage when an in-plane magnetic field is present. We find a broadened resonant feature in the tunneling current as a function of bias voltage, in qualitative agreement with experiments. We also find the broadening due to electron-phonon coupling has a nonmonotonic dependence on  $B_{\parallel}$ , related to the geometry of the double quantum well. We also compare this with the broadening effect due to spatial fluctuations of the tunneling amplitude. We conclude that such static disorder provides only very weak broadening of the resonant feature in the experimental range.

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## I. INTRODUCTION

Over the last 15 years quantum Hall bilayer systems (QHB) have been extensively studied since they are one of the few systems that show macroscopic evidence of quantum coherence. The richness of the physics of the QHB has attracted the attention of both theoretical<sup>1–6</sup> and experimental studies.<sup>7–11</sup> This has led to rather rapid progress in the area. The bilayer consists of two parallel two-dimensional electron layers in a double quantum well closely separated by a distance  $d$  and subjected to a magnetic field perpendicular to the plane of the layers  $B_{\perp}$ . In this paper, we will focus on the case when each layer is a half-filled Landau level: filling factor  $\nu_1 = \nu_2 = 1/2$ . If the separation between layers is large they behave as two independent Fermi liquids and no quantum Hall effect is observed. When the distance between the layers becomes comparable with the magnetic length ( $d \sim \ell_B$ ) the system undergoes a phase transition from a compressible state at large  $d \gg \ell_B$  to an incompressible state small  $d \ll \ell_B$ . In the incompressible state, the system as a whole exhibits the  $\nu = 1$  quantum Hall effect even when interlayer tunneling is negligible. This transition is driven by Coulomb interactions between the layers. The ground state in the quantum Hall regime is believed<sup>3</sup> to have a broken U(1) symmetry which leads to spontaneous interlayer phase coherence. This ground state can be described as a pseudospin ferromagnet<sup>2</sup> using a pseudospin picture equating electrons in the upper (lower) layer with pseudospin “up” (down),<sup>2,12</sup> or as an excitonic superfluid.<sup>4,13</sup>

A series of remarkable experiments have probed the existence of this coherent phase. They show evidence for interlayer coherence with a linearly dispersing Goldstone mode<sup>11</sup> and counterflow superfluidity<sup>9,14,15</sup> and drag Hall voltage.<sup>16</sup> One piece of the experimental evidence of interlayer coherence is a sharp peak in the tunneling current for small bias (between 10 and 100  $\mu\text{V}$ ) and low temperature. Another characteristic of the QHB that indicates the existence of phase coherence between layers is the sensitivity of the QHB to the presence of an in-plane magnetic field. The sharp peak

in the tunneling current at small bias is suppressed when a magnetic field is applied parallel to the plane of the layers. At the same time, experiments show a “dispersive” feature in the tunneling current at a voltage which evolves linearly with the in-plane field  $B_{\parallel}$ . This has been interpreted as the excitation of the Goldstone mode of the excitonic superfluid at wave vector  $Q$  and energy  $eV$  given by

$$Q = \frac{2\pi B_{\parallel} d}{\phi_0}, \quad eV = \hbar v Q, \quad (1)$$

where  $v$  is the velocity of the collective mode and  $\phi_0 = hc/e$  is the flux quantum.<sup>11</sup>

The tunneling current can be computed using as a perturbation the interlayer hopping matrix element  $t_{\perp}$ . For a homogeneous system in the absence of an in-plane field, Jack *et al.*<sup>6</sup> found a tunneling current proportional to  $1/V$  at zero temperature, consistent with the observation of a region of negative differential tunneling conductance at low temperature. However, the same calculation gives a delta function at  $eV = vQ$  in the tunneling current in the presence of an in-plane field. Although the position of this feature is consistent with experiments, the experimental data does not exhibit a sharp feature even at the lowest temperatures. In this paper, we will explore sources for a finite linewidth of this feature. We find that two mechanisms should be dominant at low temperatures: tunneling disorder and electron-phonon coupling. We will not discuss the role of vortices which could be nucleated at zero temperature by strong charge disorder or by thermal activation.

This paper is organized as follows. In the next section, we review the methodology for computing the tunneling current as originally used by Jack *et al.*<sup>6</sup> In Sec. III, we discuss the effect of electron-phonon interactions on the tunneling current. We will calculate how the magnitude and the width of the dispersive feature in the tunneling current is affected by the electron-phonon coupling. In Sec. IV, we investigate the effect that an inhomogeneous tunneling amplitude over the

sample has on the tunneling current. We present the conclusions of the paper in Sec. V.

## II. THEORETICAL DISCUSSION

We will adopt the pseudospin picture of the quantum Hall bilayer, labeling single-particle states in the upper layer as  $S^z=+1/2$  and states in the lower layer as  $S^z=-1/2$ . We will review this framework in this section. In this picture, the system is a pseudospin ferromagnet with an easy-plane anisotropy. Furthermore, we will work with the large- $S$  generalization of this model on a lattice which corresponds to coarse graining the system by treating ferromagnetic patches containing  $S$  electrons as lattice sites containing a large spin  $S$ . The Hamiltonian can be written as

$$H_0 = -\frac{J}{2} \sum_{\langle ij \rangle} (S_i^+ S_j^- + \text{H.c.}) + \frac{D}{2} \sum_i (S_i^z)^2, \quad (2)$$

where  $S_i^+$  and  $S_i^-$  are the pseudospin raising and lowering operators on site  $i$  of a square lattice,  $J$  is the exchange interaction,  $D$  represents a local capacitive energy for charge imbalance. In the presence of tunneling across the bilayer, the Hamiltonian becomes

$$H = H_0 - \Delta_{\perp} \sum_i (e^{iQx_i} S_i^+ + e^{-iQx_i} S_i^-), \quad (3)$$

where  $\Delta_{\perp}$  is the interlayer tunneling matrix element in the absence of in-plane field and  $x_i$  is the  $x$  coordinate of the spin  $i$ . We have chosen the gauge such that, in the presence of an in-plane magnetic field  $B_{\parallel}$ , the tunneling matrix acquires a phase that varies spatially in the  $x$  direction with periodicity  $2\pi/Q$  with  $Q$  as defined in Eq. (1).

The large- $S$  treatment of this model corresponds to taking  $S$  to a large value while keeping  $JS$  and  $DS$  constant so that the three energy scales for exchange, interaction and tunneling scale in the same way with  $S$ . We note that  $DS > JS \gg \Delta_{\perp}$  in the bilayer system.

The pseudospin can be written in terms of phase and  $S^z$  operators as

$$S_i^{\pm} = (S - S_i^z)^{1/2} e^{-i\phi_i} (S + S_i^z)^{1/2}. \quad (4)$$

Semiclassically,  $\phi_i$  gives the azimuthal angle of the pseudospin projected onto the  $xy$  plane in spin space. They are canonical conjugate variables:  $[S_i^z, \phi_j] = i\delta_{ij}$ . We can take the continuum limit and integrate out the  $S^z$  (charge imbalance) fluctuations to arrive at a phase-only action,<sup>17</sup>

$$\mathcal{S} = 2S\rho_s \int d^2r dt \left[ \frac{1}{2v^2} (\partial_t \phi)^2 - \frac{1}{2} |\nabla \phi|^2 + \frac{1}{\xi^2} \cos(\phi - Qx) \right], \quad (5)$$

where  $v^2 = DSJS\ell_B^2/\hbar^2$ ,  $\rho_s = JS$  is the spin stiffness, and  $\xi = (4\pi\rho_s/\Delta_{\perp})^{1/2}\ell_B$  is the Josephson length which gives the length scale over which counterflow currents decay due to tunneling across the bilayer. If we further include a bias of  $V$  across the bilayer, the only change to the action is that the cosine term in the action becomes<sup>4,6</sup>  $\cos(\phi - Qx - eVt/\hbar)$ . In this model, all the dynamics of the system depends on the

phase  $\phi$ . Spatial gradients in the phase correspond to counterflow in the two layers.

In the absence of tunneling, this Lagrangian represents an easy-plane ferromagnet with spontaneously broken symmetry, i.e., a spatially uniform phase. In the presence of an in-plane magnetic field, the ground state develops spatial variations in the phase field.<sup>18,19</sup> At small  $Q$ , the phase field increases linearly with the Aharonov-Bohm phase:  $\phi \sim Qx$ . At large  $Q$ , the phase field cannot follow the Aharonov-Bohm phase and has only small oscillations:  $\phi \sim \sin(Qx)/Q^2\xi^2$ , where  $\xi$  is the Josephson length. The transition occurs at  $Q\xi \sim O(1)$ . The Josephson length is estimated to be on the order of microns. Experimental values<sup>11</sup> of the in-plane field give  $Q\xi > 10$ . Therefore, the spatial fluctuations of the ground-state phase field are small. In our following calculation, we will consider quantum fluctuations as perturbations around the uniform  $\phi=0$  state.

Our calculation of the quantum fluctuations in the system starts by the system in the absence of tunneling. Then we will introduce interlayer tunneling in perturbation theory. In the absence of tunneling, the  $\Delta_{\perp}=0$  Hamiltonian (2) can be diagonalized

$$H_0 = \sum_{\mathbf{q} \neq 0} \varepsilon_{\mathbf{q}} \alpha_{\mathbf{q}}^{\dagger} \alpha_{\mathbf{q}},$$

$$\varepsilon_{\mathbf{q}} = [JSq^2 l_B^2 (DS + q^2 l_B^2)]^{1/2},$$

$$\alpha_{\mathbf{q}}^{\dagger} = \sqrt{\frac{S}{2}} \left[ (u_{\mathbf{q}} + v_{\mathbf{q}}) \frac{S^z_{-\mathbf{q}}}{S} + i(u_{\mathbf{q}} - v_{\mathbf{q}}) \phi_{-\mathbf{q}} \right], \quad (6)$$

where the coherence factors are  $(u_{\mathbf{q}} + v_{\mathbf{q}})^2 = (u_{\mathbf{q}} - v_{\mathbf{q}})^2 = (1 + DS/JSq^2 l_B^2)^{1/2}$ . This means that the elementary excitations in the pseudospin lattice system, created by the operator  $\alpha_{\mathbf{q}}^{\dagger}$  are long-lived pseudospin waves with energy  $\varepsilon_{\mathbf{q}}$  at wave vector  $\mathbf{q}$ . At long wavelengths, the pseudospin waves have a linear dispersion,  $\varepsilon_{\mathbf{q}} \approx \hbar v_{\mathbf{q}}$ , with pseudospin wave velocity  $v \sim (JSDS)^{1/2} l_B/\hbar$ .

The operators  $S^z$ ,  $e^{\pm i\phi}$ , and  $S^{\pm}$  all involve the creation and annihilation of pseudospin waves. Most significantly, interlayer tunneling,  $S^{\pm}$ , causes decay of the pseudospin waves at all wavelengths. This can be seen by examining the  $S_i^+$  operator that represents electron tunneling in the pseudospin language. From Eq. (4), we see that it creates perturbations in the phase  $\phi_i$  and  $S_i^z$ . This involves the creation and annihilation of pseudospin waves [see Eq. (6)]. This is a consequence of the fact that tunneling breaks the global U(1) phase invariance of the system so that Goldstone's theorem no longer protects the long-wavelength pseudospin waves from decay.

Let us consider now the interlayer tunneling current in the presence of an interlayer bias  $V$ . The tunneling current at site  $i$  of the lattice is given by the operator  $i\Delta_{\perp} (e^{-i(Qx_i - eVt/\hbar)} S_i^- - e^{i(Qx_i - eVt/\hbar)} S_i^+) / 2\hbar$ . Therefore, in the continuum limit, the expectation value of the interlayer tunneling current is,<sup>4,6</sup>

$$I(t) = \frac{e\Delta_{\perp}}{2\pi\hbar l_B^2} \int d^2r \left\langle \sin\left(\phi - Qx - \frac{eVt}{\hbar}\right) \right\rangle, \quad (7)$$

where the expectation value is taken with respect to the full Hamiltonian  $H$  as defined by Eq. (3). In a perturbative treatment of the interlayer tunneling in the Hamiltonian  $H$ , we can treat the tunneling term in first-order perturbation theory. This gives<sup>4,6</sup> a dc current proportional to  $\Delta_{\perp}^2$ ,

$$I_0 = -Se \left( \frac{\Delta_{\perp} L}{4\pi\hbar l_B^2} \right)^2 \text{Re} \int d^2r \int_0^{\infty} d\tau C(\mathbf{r}, \tau) e^{-i(\mathbf{Q}\cdot\mathbf{r} + eV\tau/\hbar)}, \quad (8)$$

where  $\mathbf{Q} = (Q, 0)$ ,  $C(\mathbf{r}, \tau) = (\langle T e^{i\phi(\mathbf{r}, \tau)} e^{-i\phi(0,0)} \rangle_0 - \text{H.c.})$  evaluated at zero tunneling with Hamiltonian  $H_0$ . We can see that we are calculating the response of the system at wave vector  $Q$  and frequency  $eV/\hbar$ . It can be shown that

$$\ln \langle T e^{i\phi(\mathbf{r}, \tau)} e^{-i\phi(\mathbf{r}', 0)} \rangle_0 = \frac{-i\hbar}{2S\rho_s} \int \frac{d^2q d\omega}{(2\pi)^3} |J_{\mathbf{q}, \omega}(\mathbf{r}, \mathbf{r}', \tau)|^2 G_{\mathbf{q}, \omega}^{(0)}, \quad (9)$$

where  $J_{\mathbf{q}, \omega}(\mathbf{r}, \mathbf{r}', \tau) = e^{i(\mathbf{q}\cdot\mathbf{r} - \omega\tau)} - e^{i\mathbf{q}\cdot\mathbf{r}'}$  and  $G_{\mathbf{q}, \omega}^{(0)} = (\omega^2/v^2 - q^2)^{-1}$  is the propagator for the phase fluctuations at zero tunneling.

Jack *et al.*<sup>6</sup> analyzed the tunneling current at zero in-plane field. They showed that the perturbative calculation above can be understood in terms of the generation of finite-momentum pseudospin waves via the decay of the  $q=0$  mode. Technically, this is an interpretation of Eq. (8) as a Taylor expansion of the exponential in the definition of  $C(\mathbf{r}, t)$ . Each term in the Taylor expansion involving  $2n$   $\phi$  fields represents the generation of  $n$  pseudospin waves. In the absence of an in-plane field, there is no decay of the  $q=0$  mode to a single pseudospin wave with nonzero wave vector because of momentum conservation. The most important decay channel is then the generation of a pair of pseudospin waves with equal and opposite momenta. However, in the presence of an in-plane magnetic field  $B_{\parallel}$ , the vector potential provides a momentum of  $Q$ , as given by Eq. (1), to the pseudospin system, as can be seen in Hamiltonian (3). The generation of a single pseudospin wave at wave vector  $Q$  is now possible and this is the leading contribution in orders of  $1/S$ . [In the perturbative formulation, this can be seen mathematically in Eq. (8) which tells us that we need to calculate the Fourier component of  $C$  at wave vector  $\mathbf{Q}$ .] For a homogeneous system, this calculation gives a current which is a delta function at  $eV/\hbar = vQ$ ,

$$I_0 = \frac{e\Delta_{\perp}^2 L^2 DS}{\hbar l_B^2 8eV} \delta(eV - \hbar vQ). \quad (10)$$

In this work, we investigate possible sources of line broadening for this peak at nonzero in-plane magnetic field. We will focus on effects which do not vanish at zero temperature. In order to obtain a finite linewidth, we find that the pseudospin waves need elastic or inelastic scattering. We will discuss elastic scattering due to disorder in Sec. IV. In Sec. III, we will study inelastic scattering. This can arise from the generation of photons or phonons. The two mechanisms are

similar. However, we will see that the energy of photons involved in this process will be much higher than the energy of the pseudospin waves. This means that the process can only be virtual. It can at most alter the dispersion relation of the pseudospin waves but cannot cause decay. Therefore, we will focus on the electron-phonon interaction in this work.

### III. PHONON GENERATION

In this section, we introduce interactions between phonons and pseudospin waves and study how the tunneling current between layers is affected by the introduction of these interactions.

When electrons tunnel across the bilayer, the electron density changes, perturbing the core ions on the AlGaAs of the tunneling barrier and thus creates phonons in the three-dimensional system in which the quantum well is embedded. For the range of values of the bias voltage used in the experiments, the most important interaction between ions in the host material and the tunneling electrons is the deformation-potential interaction<sup>20,21</sup> with the acoustic phonons. We neglect optical phonons because they are at energies high compared to the electron energy at the experimental range of bias voltage.

The phonon Hamiltonian is given by,

$$H_{\text{phonon}} = \sum_{\mathbf{k}} \hbar \omega_{\mathbf{k}} \left( a_{\mathbf{k}}^{\dagger} a_{\mathbf{k}} + \frac{1}{2} \right), \quad (11)$$

where  $\mathbf{k} = (\mathbf{q}, k_z)$  is a three-dimensional wave vector,  $\mathbf{q} = (q_x, q_y)$ ,  $\omega_{\mathbf{k}}$  is the phonon frequency spectrum, and  $a_{\mathbf{k}}$  and  $a_{\mathbf{k}}^{\dagger}$  are the phonon annihilation and creation operators. We are discussing physics at wavelengths long compared to the lattice spacing of the substrate. So, we will use a simple linear dispersion for the acoustic phonon:  $\omega_{\mathbf{k}} = s|\mathbf{k}|$ . The electron-phonon interaction takes the form,

$$H_{e\text{-ion}} = D_{\text{ef}} \sum_{\mathbf{k}} \left( \frac{\hbar}{2\rho_{\text{ion}} \nu \omega_{\mathbf{k}}} \right)^{1/2} |\mathbf{k}| \rho(\mathbf{k}) (a_{\mathbf{k}} + a_{-\mathbf{k}}^{\dagger}), \quad (12)$$

where  $\rho_{\text{ion}}$  is the ion mass density,  $\nu$  is the volume of the three-dimensional solid, and  $\rho(\mathbf{k})$  is the three-dimensional electron density.

The electron-density perturbation caused by tunneling involves charge imbalance across the bilayer. It is convenient to express the density perturbation at position  $\mathbf{R} = (\mathbf{r}, z)$  in terms of the  $z$  component of the pseudospin,

$$\rho(\mathbf{R}) = \frac{S}{4\pi\ell_B^2} \left\{ \rho_{\uparrow}(z) + \rho_{\downarrow}(z) + \frac{S^z(\mathbf{r})}{S} [\rho_{\uparrow}(z) - \rho_{\downarrow}(z)] \right\}, \quad (13)$$

where  $\rho(\mathbf{R})$  is the three-dimensional electron density and  $\rho_{\uparrow(\downarrow)}(z)$  is the one-dimensional density profile in the  $z$  direction for electrons in the upper (lower) layer, normalized to  $\int \rho_{\uparrow(\downarrow)}(z) dz = 1$ . We have scaled the density by  $S$  in the spirit of the coarse-graining idea of the large- $S$  generalization of this model, as discussed at the start of Sec. II. It is easy to check that the above expression produce the expected three-dimensional density profile for the cases of to a balanced

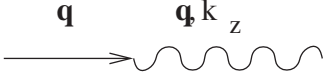


FIG. 1. Diagrammatic representation of the decay of one pseudospin wave with momentum  $\mathbf{q}$  into one phonon with momentum  $\mathbf{q}, k_z$ .

bilayer  $S_z=0$  and completely imbalanced bilayer  $S_z=\pm S$ .

For simplicity, we approximate the electron wave function  $\psi(z)$  in the lowest subband of the single quantum well centered at  $z=0$  by a Gaussian wave packet,

$$\psi(z) \approx \frac{\pi^{1/4}}{w^{1/2}} e^{-z^2 \pi^2 / 2w^2}, \quad (14)$$

where  $w$  is the width of the well. (A factor of  $\pi^2$  has been inserted into the exponential so that the form matches the amplitude and width of the actual wave function in the square well.) Using this approximation, the electron density in the Fourier representation is given by

$$\rho(\mathbf{k}) = \frac{i}{2\pi\ell_B^2} \sin\left(\frac{k_z d}{2}\right) e^{-k_z^2 w^2 / 4\pi^2} S_{\mathbf{q}}^z + \dots, \quad (15)$$

where  $d$  is the separation of the centers of the two quantum wells in the  $z$  direction. We have dropped terms that do not involve the pseudospin degrees of freedom, i.e., terms that do not change as a result of a tunneling event that creates a charge imbalance across the bilayer. Note that the part proportional to  $S^z$  vanishes as  $k_z \rightarrow 0$  because this part represents charge imbalance and does not contribute to a uniform change in density in the  $z$  direction. In fact, the form of the  $k_z$  dependence in  $\rho(\mathbf{k})$  reflects the geometry of the double quantum well. There are two contributions to the geometrical form factors in this expression, analogous to the Fraunhofer diffraction pattern from a double slit in optics. The sinusoidal dependence on  $k_z d/2$  comes from the convolution of the two density profiles centered at  $\pm d/2$  and the Gaussian comes from the electron-density profile in the  $z$  direction. From Eqs. (4), (6), and (15), we see that the electron-phonon interaction in Eq. (12) includes the decay of one pseudospin wave with momentum  $\mathbf{q}$  into one phonon with momentum  $(\mathbf{q}, k_z)$  (or vice versa). This is depicted in Fig. 1. There is no conservation of momentum in the  $z$  direction because translational symmetry is broken by the presence of the double quantum well. This decay of the pseudospin wave into a phonon can only occur as a real process if energy is conserved,

$$vq = s\sqrt{q^2 + k_z^2}. \quad (16)$$

This requires the pseudospin wave velocity to be higher than the phonon velocity:  $v > s$ . This is the case we consider here. We estimate  $v \sim 1.4 \times 10^4 \text{ ms}^{-1}$  from the data of Spielman *et al.*<sup>11</sup> and  $s \approx 0.1v$  for the sound velocity in the heterostructure.<sup>21</sup> On the other hand, if the phonon velocity  $s$  was greater than the pseudospin wave velocity  $v$ , then the process where a phonon of greater speed (or indeed a photon) can be emitted and reabsorbed is only a virtual process. This will alter the pseudospin wave spectrum but does not contribute to the tunneling current.

We will now investigate more quantitatively how the pseudospin-phonon interaction affect the system. We proceed by integrating out the phonons to obtain an effective action. This can be performed because the electron-phonon coupling is linear in the phonon field. We obtain a retarded interaction for  $S^z$ , the charge imbalance across the layers,

$$S_{\text{ep}} = \frac{2Sv^2}{8\pi\ell_B^4 \rho_s} \int \frac{d^2 q d\omega}{(2\pi)^3} \lambda_D \Pi_{\mathbf{q},\omega} |S_{\mathbf{q},\omega}^z|^2, \quad (17)$$

where

$$\Pi_{\mathbf{q},\omega} = \int dk_z \frac{\sin^2(k_z d/2) (\mathbf{q}^2 + k_z^2) e^{-2k_z^2 w^2 / 4\pi^2}}{\omega^2 - \omega_{\mathbf{k}}^2} \quad (18)$$

and  $\lambda_D = (D_{\text{eff}}/\hbar)^2 (\rho_s/\rho_{\text{ion}} v^2)$ . This adds to the on-site instantaneous interaction  $D$ . Integrating out  $S^z$ , we obtain a modified phase action at zero tunneling,

$$S_0 = \frac{S\rho_s}{(2\pi)^3} \int d^2 q d\omega \left( -\mathbf{q}^2 + \frac{\omega^2/v^2}{1 + \lambda_D \Pi_{\mathbf{q},\omega}} \right) |\phi_{\mathbf{q},\omega}|^2. \quad (19)$$

The decay of the pseudospin wave into a phonon is encoded in the imaginary part of  $\Pi_{\mathbf{q},\omega}$ . It is nonzero when  $\omega \geq sq$ ,

$$\text{Im } \Pi_{\mathbf{q},\omega} = -\frac{\omega^2 \sin^2(d\sqrt{\omega^2 - s^2 q^2}/2s) e^{-2\omega^2(\omega^2 - s^2 q^2)/4\pi^2 s^2}}{s^3 \sqrt{\omega^2 - s^2 q^2}}. \quad (20)$$

For  $\omega < sq$ , the imaginary part of  $\Pi_{\mathbf{q},\omega}$  is zero. The real part of  $\Pi_{\mathbf{q},\omega}$  will shift the position of the peak of the tunneling current with respect to the voltage.

The leading term on the expansion of Eq. (9) in powers of  $1/S$  corresponds to the decay of one  $q=0$  mode into one finite-momentum spin wave at wave vector  $\mathbf{Q}=(Q,0)$ . The contribution to the current from this term is

$$I = S e \left( \frac{\Delta_{\perp} L}{4\pi\hbar\ell_B^2} \right)^2 \text{Re} \int_0^{\infty} d\tau \int_{-\infty}^{\infty} d\omega e^{ieV\tau/\hbar} \cos(\omega\tau) \times \left( -\frac{i\hbar}{S\rho_s} G_{\mathbf{Q},\omega} - \text{H.c.} \right) \quad (21)$$

with

$$G_{\mathbf{Q},\omega}^{-1} = [(1 + \lambda_D \Pi_{\mathbf{Q},\omega})^{-1} \omega^2/v^2 - \mathbf{Q}^2]. \quad (22)$$

The main difference between the present Green's function and the one used by Jack *et al.* and Balents and Radzihovsky is that this decay is affected by the phonon-electron interaction [Fig. 2(b)] while the process considered by them is not [Fig. 2(a)]. This will give a broadened peak on the current centered at  $eV = vQ$ . Physically, this is a consequence of the fact that, once the  $q=Q$  spin wave is coupled to the phonons, it is no longer a sharp resonance. Mathematically, we have to inspect  $G_{\mathbf{Q},\omega}$  which includes the decay of one zero-momentum pseudospin wave with energy  $\hbar\omega$  into a phonon with the same in-plane momentum. The poles of  $G_{\mathbf{Q},\omega}$  establish the relation between the momentum  $Q$  and the frequency  $\omega$  and the integral over time in Eq. (21) gives the restriction

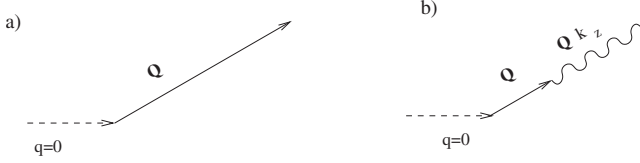


FIG. 2. Diagrammatic representation of: (a) the decay of one  $q=0$  pseudospin wave into a pseudospin wave with finite momentum  $Q$ . (b) The decay of one pseudospin wave with momentum  $q=0$  into a finite- $Q$  pseudospin wave which in turn decays into a phonon.

for the pseudospin wave energy  $\hbar\omega$  to  $eV$ . The final expression for the current is then

$$I = -e \left( \frac{\Delta_{\perp} L}{4\pi\hbar\ell_B^2} \right)^2 \frac{\hbar v^2}{2\rho_s} \operatorname{Re} \frac{i(1 + \lambda_D \Pi_{Q,\Omega})}{2\Omega(\Omega - eV/\hbar)}, \quad (23)$$

where  $\Omega$  is the pole of  $G_{Q,\Omega}$  with a positive real part.

A measure of the importance of the electron-phonon coupling is the dimensionless quantity (see Fig. 3),

$$\lambda_D \operatorname{Im} \Pi_{Q,vQ} = \frac{\lambda_D v Q}{s^3} \sin^2 \left( \frac{Qdv}{2s} \right) \exp \left( -\frac{Q^2 w^2 v^2}{2\pi^2 s^2} \right). \quad (24)$$

This is formally small in the large- $S$  limit. Indeed, we can check that, even if we set  $S$  to unity,  $\lambda_D \Pi_{Q,vQ}$  remains small. Neglecting the geometrical form factors, the coupling strength is given by  $\lambda_D v Q / s^3 \sim 10^{-1}$  for  $Q$  between 10 and  $20 \mu\text{m}^{-1}$  (as probed in the experiments<sup>11</sup>). The geometrical form factors reduce this further. From Fig. 3, we see that the coupling is most appreciable for wave vectors  $Q$  in the experimental range<sup>11</sup> of 10 to  $20 \mu\text{m}^{-1}$  (with  $d=28 \text{ nm}$  and  $w=18 \text{ nm}$ ). This corresponds to  $Qdv/2s \sim \pi/2$ . On the other hand, the coupling can also vanish when  $Qdv/2s$  is an integer multiple of  $\pi$ . At these wave vectors, the electron and ion density oscillations are symmetric in the two layers and so are not excited by a tunneling event which causes charge imbalance across the layers.

The weak electron-phonon coupling means that we can expect the pole of the Green's function to be close to the original pseudospin wave energy:  $\Omega \approx vQ$ . We can approximate  $\Pi_{Q,\Omega}$  by  $\Pi_{Q,vQ}$  and

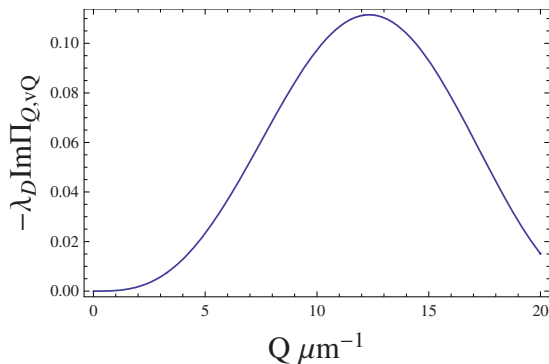


FIG. 3. (Color online) Dimensionless coupling  $\lambda_D \operatorname{Im} \Pi_{Q,vQ}$  as a function of  $Q=2\pi B_{\parallel} d / \phi_0$ . ( $d=28 \text{ nm}$ ,  $w=18 \text{ nm}$ ,  $v/s=10$ ,  $S=1$ .)

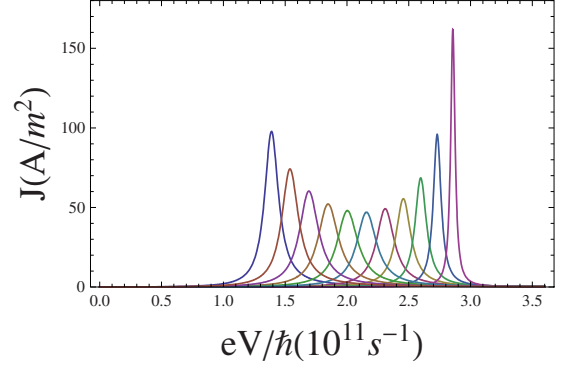


FIG. 4. (Color online) Tunneling current as a function of the voltage  $eV/\hbar$  for different values of  $Q=2\pi B_{\parallel} d / \phi_0$  (taken every  $1 \mu\text{m}^{-1}$ ). From left to right, the first curve corresponds to  $Q=10 \mu\text{m}^{-1}$ , and the last curve corresponds to  $Q=20 \mu\text{m}^{-1}$ . We use  $D_{\text{eff}}=9 \text{ eV}$ .

$$\Omega \approx vQ(1 + \lambda_D \Pi_{Q,vQ}/2). \quad (25)$$

This corresponds to a spin-wave decay rate of  $\Gamma_Q = \lambda_D v Q |\operatorname{Im} \Pi_{Q,vQ}|/2$ . These results give us predictions for the height and width of the feature in the tunneling current that disperses with the in-plane field  $B_{\parallel} \propto Q$  as reported by Spielman *et al.*<sup>11</sup> From Eq. (23), the maximum value of the current occurs at  $eV/\hbar = \operatorname{Re} \Omega \approx vQ$ . At  $eV/\hbar = vQ$ ,

$$I_{\max} = -e \left( \frac{\Delta_{\perp} L}{4\pi\ell_B^2} \right)^2 \frac{1}{4\hbar\rho_s Q^2} \frac{1}{\lambda_D \operatorname{Im} \Pi_{Q,vQ}}. \quad (26)$$

The width  $\Delta V$  of the peak in the current as a function of the bias  $V$  is similarly controlled by  $\operatorname{Im} \Omega$ ,

$$e\Delta V/\hbar = vQ\lambda_D |\operatorname{Im} \Pi_{Q,vQ}|/2. \quad (27)$$

First of all, the area under the peak in the  $IV$  curve can be approximated by  $I_{\max} \Delta V$ . This is proportional to  $1/Q$  so that this peak weakens as we increase the in-plane field. However, the evolution of the shape of the  $IV$  curve is a non-monotonic function of  $Q$  (see Fig. 4). The peak is sharp when electron-phonon coupling is weak, i.e., away from  $Q \sim 10 \mu\text{m}^{-1}$ . The peak is broad in the experimental regime because of the appreciable electron-phonon coupling as we noted above.

Our results are qualitatively consistent with the dispersive feature in the  $IV$  characteristic observed by Spielman *et al.*<sup>11</sup> This feature has been identified as due to the excitation of coherent excitations of the interlayer-coherent phase because its position moves linearly with the in-plane magnetic field. The width of the feature appears to have nonmonotonic dependence on the in-plane field. It would be interesting to explore this dependence for a larger range of wave vectors in order to elucidate relaxation mechanisms in the system.

We note that our theoretical results differ in absolute magnitude from the experiments. Our current values are nearly an order of magnitude higher than the experimental values.<sup>11</sup> This may be due to a strongly renormalized tunneling amplitude at low energies due to fluctuations. We also predict sharper peaks than seen in experiments. The broadest peak we obtained (which does correspond to the range of in-plane

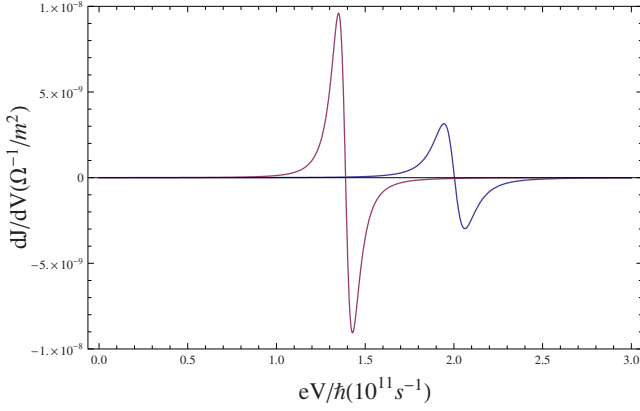


FIG. 5. (Color online) Tunneling density conductance  $dJ/dV$  as a function of the voltage  $eV/\hbar$  for  $Q=10 \mu\text{m}^{-1}$  (left) and  $Q=14 \mu\text{m}^{-1}$  (right).

fields studied experimentally), the theory gives a width  $\Delta V$  on the order of 10% of the position of the peak  $V=\hbar v Q/e$ . See Fig. 5 for a direct comparison with the differential conductance. The discrepancy may be due to other relaxation processes which contribute additively to the broadening of the peak. (We will discuss the contribution from elastic scattering in the next section.) It could also be caused by a suppression of tunneling due to thermal<sup>4</sup> and quantum<sup>6</sup> phase fluctuations.

In summary, we have found that electron-phonon scattering may have a measurable contribution on the broadening of the coherent feature in the tunneling  $IV$  characteristic in the quantum Hall bilayer. In our theory, this broadening arises from the finite lifetime of the collective density excitation of the bilayer at wave vector  $Q$  due to the decay of electron-density oscillations into lattice vibrations with the same in-plane wave vector. The effect is in fact strongest in the range of in-plane magnetic fields studied experimentally, giving a width  $\Delta V$  on the order of 10% of the position of the peak  $V=\hbar v Q/e$ .

#### IV. EFFECT OF DISORDER

We now consider the effect of disorder on the tunneling current. Charge inhomogeneity can be present in the system in the case of strong disorder from the random distribution of dopants. This will nucleate textures in the pseudospins (merons), producing a ground state with random vorticity in the phase of counterflow superfluid. This has been investigated by Eastham *et al.*<sup>22</sup> who found suppressed tunneling in the sense that the length scale for counterflow current to leak across the bilayer by tunneling becomes enhanced by at least an order of magnitude.

In this paper, we will focus on a system of weak disorder where the ground state of the system is free of vortices. This applies to relatively clean samples which have small charge variations even on the length scale of a few magnetic lengths. We will consider in particular spatial variations in the tunneling amplitude across the bilayer as a consequence of not having perfectly flat layers. In the pseudospin model, inhomogeneous tunneling corresponds to inhomogeneous

Zeeman coupling to the in-plane component of the pseudospin so that the Hamiltonian is,

$$H_\sigma = H_0 - \Delta_\perp \sum_i [(1 + \sigma_i) e^{iQx_i} S_i^+ + \text{H.c.}], \quad (28)$$

where  $\sigma_i$  represents the fractional fluctuation around the mean tunneling matrix element  $\Delta_\perp$ . We will model the on-site fluctuation in  $\sigma_i$  as Gaussian distribution with zero mean and variance  $\sigma^2$ . We will consider disorder which is short ranged on the scale of the magnetic length. We can perform a perturbation theory around the zero-tunneling ground state. After averaging over disorder, the lowest order in perturbation theory ( $\sim \Delta_\perp^2$ ) would give a dc tunneling current,

$$I = -Se \left( \frac{\Delta_\perp L}{2\hbar l_B^2} \right)^2 \text{Re} \int d^2R \int_0^\infty d\tau C(\mathbf{R}) \times (1 + \overline{\sigma_{\mathbf{R}} \sigma_0}) e^{-i(\mathbf{Q} \cdot \mathbf{R} + eV\tau/\hbar)}. \quad (29)$$

This is clearly not a physical result since this expression still contains the current  $I_0$  for the uniform system [see Eq. (10)] which give a delta-function response at  $eV/\hbar = vQ$ . Therefore, we need to consider further corrections due to the disordered tunneling strength. As we have learnt from the previous section, the scattering of the pseudospin wave at wave vector  $Q$  is important to consider. In the previous section, we considered scattering due to electron-phonon interactions. Here, we need to consider scattering by the disorder. This process involves terms of the form  $\gamma_{\mathbf{q},\mathbf{Q}} \alpha_{\mathbf{q}}^+ \alpha_{\mathbf{Q}}$  in the expansion of Hamiltonian (28) in orders of  $S$ . The matrix elements are of order  $S^0$  and have the form,

$$\gamma_{\mathbf{q},\mathbf{Q}} = -2\Delta_\perp \sigma_{\mathbf{q}} (u_{\mathbf{q}} + v_{\mathbf{q}}) (u_{\mathbf{Q}} + v_{\mathbf{Q}}). \quad (30)$$

The decay rate of a pseudospin wave at  $Q$  can be calculated from Fermi's golden rule as  $(2\pi/\hbar) \sum_{\mathbf{q}} |\gamma_{\mathbf{q},\mathbf{Q}}|^2 \delta(\epsilon_{\mathbf{q}} - \epsilon_{\mathbf{Q}})$ . We see that we require  $|\mathbf{q}|=Q$  since these collisions are elastic. We can approximate  $4(u_{\mathbf{q}} + v_{\mathbf{q}})^2 (u_{\mathbf{q}} + v_{\mathbf{q}})^2$  by  $(DS/\hbar v q)(DS/\hbar v Q)$ . Averaging over disorder, we obtain a decay rate of,

$$\Gamma_Q \approx \frac{\Delta_\perp^2 \sigma^2 (DS l_B)^2}{v^2 \hbar^3} \frac{1}{\hbar v Q} = \frac{\Delta_\perp^2 \sigma^2}{\hbar \rho_s Q l_B} \left( \frac{DS}{\rho_s} \right)^{1/2}, \quad (31)$$

for spatially uncorrelated disorder:  $\overline{\sigma_i \sigma_j} = \sigma^2 \delta_{ij}$ .

Analogous to our previous treatment for electron-phonon coupling with a finite decay rate for the spin waves, Eq. (25), this scattering rate due to disorder gives a peak for the  $IV$  curve of width  $\Delta V = \hbar \Gamma_Q / e$  and height,

$$I_{\text{max}} = \left( \frac{\Delta_\perp L}{4\pi \ell_B^2} \right)^2 \frac{ev}{8\hbar \rho_s Q} \frac{1}{\Gamma_Q} = \frac{L^2}{\ell_B^2} \frac{ev}{128\sigma^2 \ell_B} \left( \frac{\rho_s}{DS} \right)^{1/2}. \quad (32)$$

This gives a field-independent peak current but a peak width that decreases with increasing  $Q$ .

For disorder with a correlation length of  $\zeta$  with the correlation function  $\overline{\sigma_i \sigma_j} = \sigma^2 \exp[-|\mathbf{r}_i - \mathbf{r}_j|/\zeta]$ , we obtain,

$$\Gamma_Q = \frac{\Delta_{\perp}^2 \sigma^2}{\hbar \rho_s Q \ell_B} \left( \frac{DS}{\rho_s} \right)^{1/2} \frac{\xi^2 / \ell_B^2}{(1 + Q^2 \xi^2)^{3/2}}. \quad (33)$$

As a function of the in-plane field, the peak width  $\Delta V$  decreases and the peak current increases as we increase the in-plane field. This is observable if the in-plane field is large enough that  $Q\xi$  becomes large compared to unity.

We can compare the width  $\Delta V$  with the position  $V = \hbar v Q / e$  of this resonant feature. For uncorrelated disorder,

$$\frac{\Delta V}{V} = \left( \frac{\sigma \Delta_{\perp}}{\rho_s Q \ell_B} \right)^2. \quad (34)$$

In the experimental range,  $Q\ell_B \sim 0.1$ ,  $\Delta_{\perp} / \rho_s \sim 10^{-3}$  and we expect  $\sigma < 1$  for the validity of the perturbation theory. We see that this broadening is very weak. Consequently, the peak current is apparently very large as can be seen in our expression for the peak current (which is independent of  $\Delta_{\perp}$ ). Therefore, we conclude that spatial fluctuations in the tunneling amplitude does not give rise to a strong broadening of the resonant  $IV$  peak. Conversely, our results indicate that, since  $\Gamma_Q \sim 1/Q$ , the broadening effect of this source of disorder is only observable at much smaller values of the in-plane field.

## V. SUMMARY

We have studied in this paper extrinsic sources of scattering for the collective excitations (spin waves) of the counterflow superfluid with the aim of understanding the broadened peak that disperses with in-plane field  $B_{\parallel}$  in tunneling experiments. The  $IV$  peak then reflects the spectral weight of the

spin waves at the wave vector  $Q = 2\pi B_{\parallel} d / \phi_0$ .

We have concentrated on disorder and electron-phonon interaction as sources of spin-wave decay and hence a broadening of the  $IV$  feature. Interestingly, we found that the broadening is nonmonotonic and is strongest in the experimental range of in-plane fields ( $Q \sim 10 \mu\text{m}^{-1}$ ) because the acoustic phonons emitted after the tunneling event involve vibrations commensurate with the spacing between the two quantum wells. It will be interesting to investigate the linewidths more systematically to see if this monotonic evolution of the line shape can be observed in experiments.

Disorder also provides broadening. We have considered fluctuations in the tunneling amplitude across the bilayer. Our theory suggests that this provides only very weak broadening. Stronger disorder would nucleate charged quasiparticles in the system and is beyond the scope of this paper. This is discussed recently by Eastham *et al.*<sup>22</sup>

However, the linewidths predicted are still nearly a factor of ten smaller than the experimental results. As mentioned above, this may be due to phase disorder due to thermal or quantum fluctuations. It will be very useful to have experimental measurements for a wider range of the magnetic fields and at lower temperatures to investigate whether electron-phonon or weak disorder are important in determining the line shapes in this system.

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